Management of patients with neuromuscular disorders and acute respiratory failure

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Summary

Acute respiratory failure (ARF) is a common cause of morbidity and mortality in most advanced neuromuscular disorders (NMD). Deterioration of lung function during NMD may have different severity and time course. During slowly progressive neuromuscular disease an acute event can precipitate the respiratory failure, while rapidly progressive NMD may present as ARF at the onset of the disease. During ARF a patient with NMD may be affected by inspiratory muscles weakness responsible for decreased ventilation, expiratory muscles weakness responsible for altered coughing effectiveness, and bulbar involvement responsible for altered swallowing and airway protection. Neuromuscular disorders may also affect central control of breathing, sleep disorder, bones and joints deformities with consequent ventilatory dysfunction. Usually ARF in NMD is prompted by precipitating factors such as upper airways infections, pneumonia, atelectasis etc. It is important to distinguish respiratory failure due to an acute event or to a rapidly progression of underlying conditions to properly allocate the patient in the more appropriate setting of care. Generally, excessive invasiveness of care must be avoided, and a pro-active early rehabilitation program may help early recovery and minimize complications. Mechanical non invasive positive pressure ventilation (NIPPV) combined with mechanically assisted coughing (MAC) has been established as the standard treatment of severe ARF in NMD. Superiority of NIPPV vs invasive mechanical ventilation (MV) was showed in term of lower mortality, treatment failure, duration of ICU in several studies. Home mechanical noninvasive ventilation and MAC may result dramatic reduction in the need for hospitalization and a prolongation of life expectancy of these patients. To avoid the progression of respiratory failure an early identification of respiratory deterioration is needed, as such as prevention of acute episodes. So, especially in the progressive diseases, is very important to monitor respiratory status with periodic lung function tests. Any respiratory tract infection in neuromuscular disease could trigger acute respiratory failure so it is mandatory take any aggressive measures to prevent and treat this complication. Some of principal preventive measures include vaccination against Pneumococcus Pn. and influenza virus, early antibiotic treatment when bacterial infection is suspected, chest physiotherapy for removal airway secretions or mechanical assisted maneuvers to increase cough efficiency. In conclusion, a proactive, preventive approach is the key for optimal management acute respiratory problems in neuromuscular disorders.

KEY WORDS: acute respiratory failure; neuromuscular disorders; noninvasive ventilation; mechanically assisted coughing; intensive care unit.

Introduction

Acute respiratory failure (ARF) may be a life-threatening event during the course of several neuromuscular disorders (NMD). It can be the first manifestation of respiratory involvement or most frequently a worsening episode during chronic respiratory impairment. Deterioration of lung function during NMD varies in term of severity and time course according to different diseases. However, some general issues for the management of ARF in NMD should be kept in mind by the physicians to reduce time to recovery and to minimize the risks for the patient.

Neuromuscular disorders affecting respiratory function

Neuromuscular disorders are numerous and represent a group of disease involving the muscle, the nerve or the neuromuscular junction (Table 1). The involvement...
Table 1 - Neuromuscular disease affecting respiratory function.

**Neuropathic disease**
- Motor neuron disease (Amyotrophic lateral sclerosis, Poliomyelitis, Spinal Muscular atrophy)
- Peripheral neuropathies (Guillain-Barré syndrome, Critical Illness polynuropathy, Charcot-Marie-Tooth disease)

**Disorders of the neuromuscular junction**
- Myasthenia Gravis, Congenital myastenic syndrome, Lambert Eaton, Botulism, etc.
- Acquired myopathies (Polymyositis, Dermatomyositis, Critical illness myopathy)
- Inherited myopathy
- Progressive muscular dystrophy (Duchenne, Becker, Fascioscapulohumeral muscular dystrophy, Myotonic dystrophy)
- Congenital muscular dystrophy (Ullrich congenital muscular dystrophy, Emery-Dreifuss muscular dystrophy, etc.)
- Methabolic Myopathies (mitocondrial myopathy, glicogen storage disease type 2)

Physiological alterations of respiratory system during the course of a NMD is frequent, but either its severity and onset timing may vary among the different disorders (1). In fact, the onset of disease can be acute [e.g. Guillain-Barré syndrome (GBS)], chronic and relapsing [Multiple Sclerosis (MS), Myasthenia gravis (MG)], or slowly progressive (Amyotrophic Lateral Sclerosis -ALS). Some NMD (e.g. GBS or MG) experience respiratory failure with acute onset, and it can be the sole onset of the disease. Nevertheless, the respiratory worsening can be potentially reversible in several cases if properly managed. So we can state that in the rapidly onset neuromuscular diseases (MG, GBS etc.), the principal cause of ARF is the acute weakness of the respiratory muscles. In the slowly progressive neuromuscular disease (e.g. ALS and DMD) respiratory failure can be precipitated by an acute event causing an imbalance between the respiratory load and muscle strength. In spinal muscular atrophy (SMA) type 1 all children develop respiratory failure by the age of 2 years while around 40% of those with SMA type 2 develop it in childhood. In Duchenne muscular dystrophy respiratory failure tends to follow loss of ambulation and 70% of patients with Ullrich congenital muscular dystrophy develops respiratory failure during the adolescence. Other conditions related to respiratory failure with very early onset include those with X-linked myotubular myopathy and those with SMA (SMA with respiratory distress) (2). Otherwise, in Pompe disease respiratory involvement can be one of the first clinical manifestations of the disease, and patients may present respiratory impairment despite still ambulating (3). In other myopathies, such as other muscular dystrophy, fascio-scapulo-humeral muscular dystrophy, limb-girdle muscular dystrophy and myotonic dystrophy the patients experience a progressive reduction in muscular respiratory function, with relatively slow progression towards chronic respiratory failure.

**Pathophysiology of ventilatory failure in NMD**

Mechanisms of ARF in NMD are based on pathological alterations directly or indirectly involving the respiratory system: muscular weakness, altered control of breathing, sleep respiratory disorders, rib cage deformity, and so on.

**Muscular Weakness**

The respiratory system is composed by the inspiratory muscles (diaphragm, external intercostal, scalene, sternocleidomastoid and trapezium) responsible for ventilation, the expiratory muscles (internal intercostal and abdominal muscles) principal responsible for coughing and the glosso-pharyngeal muscles, responsible for airway protection. In neuromuscular disease, the muscles weakness involves all the respiratory muscles, but mostly the diaphragm and inspiratory muscles (4). Patients with NMD adopt a particular breathing pattern that minimizes inspiratory time and transdiaphragmatic pressure in order to avoid fatigue of the diaphragm, generating small tidal volumes and compensatory increase of respiratory rate (5). Compensatory tachypnea with small tidal volumes may cause lung atelectasis with a consequent reduction of the compliance of the respiratory system and increase the mechanical load on already weakened respiratory muscles (6). The final result is alveolar hypoventilation with increased partial arterial pressure of carbon dioxide (PaCO₂) (7). These mechanisms are the main responsible for hypoxemic and hypercapnic respiratory failure during NMD (8). Another contribution to inspiratory weakness is due to weakness of pharyngeal dilator muscles decreasing upper airway caliber and increasing airway resistance during inspiratory breathing. The latter mechanism imposes a higher mechanical load on inspiratory muscles, especially in Duchenne disease, motor neuron disease and Myasthenia gravis.

The main causes of restrictive disorder in neuromuscular disease are related to chest wall deformities and increased lung elastic recoil. Mechanisms underlying the alterations of respiratory system mechanics are different and multifactorial:
- decreased amplitude of respiratory excursions that cause an ankylosis of costosternal and costovertebral joints
- microatelectasis caused from lack of spontaneous deep breaths, re-recruitment of alveolar units, inefficient cough, inefficient clearance of secretions, impaired activity of surfactant (9).

Expiratory muscles have a minor contribution to respi-
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The central control of breathing is impaired in some NMD, such as Myotonic dystrophy, with an out of proportion retention of CO₂ with regard the degree of respiratory involvement, suggesting that central respiratory drive is abnormally reduced. Also there is a reduction in ventilatory response to hypercapnia (12). Patients with chronic nocturnal hypventilation develop reduced central sensitivity to CO₂ because of the retention of bicarbonates in order to compensate the chronic retention of CO₂, resetting the central set-point to CO₂ (13).

Sleep disorders
Sleep related hypoventilation and obstructive sleep apnea are favoured by:
- decreasing in upper airway motor tone during sleep (especially REM sleep)
- pharyngeal dilator muscle weakness (14-16)
- coexisting facial growth abnormalities such as maxillary hypoplasia, macroglossia that can worsen pharyngeal hypotonia.

Usually sleep disorders are common when CV is less than 40% of predicted and occur during deep sleep (REM) due to muscle hypotonia. In some neuromuscular diseases sleep disorders can occur even if VC is normal: for example in Steinert disease, DMD (17).

Other contributing factors to respiratory impairment in NMD
There are also other factors contributing to respiratory involvement in NMD (18) such as:
- left ventricular pump failure: in some neuromuscular disease, it may cause decreased pulmonary compliance and therefore increased work of breathing or ventilatory perfusion mismatch and abnormal gas exchange (19)
- poor nutrition: it may cause atrophy of diaphragm (20)
- obesity due to poor dietary habits, sedentary status, medications that can facilitate hypoventilation and obstructive sleep apnea (21)
- swallowing problems and gastro-esophageal reflux are frequent and increase bronchial congestion particularly during the night (22)
- orthopedic deformities, especially kyphoscoliosis (23)
- in congenital neuromuscular diseases lung development is reduced: indeed while in normal subject the alveolar number increases rapidly from birth to 4 years of age, in congenital disease there is a delay of this boost that contributes to respiratory failure (24).

Factors precipitating acute respiratory worsening

Usually ARF in NMD is prompted by precipitating factors to be identified to treat effectively the acute exacerbation. The most frequent precipitating causes of acute exacerbations in NMD are upper respiratory tract infections or acute bronchitis (25, 26), pneumonia, atelectasis (27), aspiration and bronchospasm. One of the most common precipitating factor is the postoperative condition. This is the case of either thoracic and abdominal surgery, but also every intervention requiring general anesthesia may worsening respiratory conditions in NMD. In myopathies, an associated cardiac dysfunction such as dilated cardiomyopathy, may lead to alteration of the conduction system and to acute heart failure. Less common but severe complications are pneumothorax during MV, pulmonary embolism due to venous stasis in a sedentary habitus, tracheal haemorrhage in patients with tracheostomy, acute gastric distention due to non invasive ventilation, and the abuse of sedative drugs (Table 2).

Table 2 - Factors precipitating respiratory failure in NMDs.

<table>
<thead>
<tr>
<th>Infections/inflammation of respiratory airways</th>
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<tbody>
<tr>
<td>• Upper respiratory tracts infections/acute bronchitis</td>
</tr>
<tr>
<td>• Pneumonia</td>
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<td>• Atelectasis</td>
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</tbody>
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<table>
<thead>
<tr>
<th>Non infective/inflammatory factors</th>
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</thead>
<tbody>
<tr>
<td>• Cardiac failure (particularly in patients with myopathies)</td>
</tr>
<tr>
<td>• Abuse of sedative drugs</td>
</tr>
<tr>
<td>• Aspiration</td>
</tr>
<tr>
<td>• Pneumothorax</td>
</tr>
<tr>
<td>• Pulmonary embolism</td>
</tr>
<tr>
<td>• Tracheal hemorrhage (patients with tracheostomy)</td>
</tr>
<tr>
<td>• Acute gastric distension due to non invasive ventilation</td>
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What to do for patients with NMD and ARF

In a patient with NMD and ARF there are two principal issues to be addressed:
- identify and remove the cause of respiratory failure
- manage the acute respiratory failure properly.
First it is necessary understand if the exacerbation is
Noninvasive positive pressure ventilation (NIPPV) and mechanically assisted cough (MAC) are established tools in the management of NMD patients with ARF.

due to an acute event, or to the acute progression of underlying conditions. Sometimes, ARF in NMD may also be linked to bulbar involvement with impaired swallowing an aspiration. To identify the cause of respiratory failure is necessary to rule out the use of sedative and hypnotic drugs, to investigate the onset and progression of the disease. Usually, the following checks are useful: common blood tests, sputum analysis, electrocardiogram to rule out arrhythmias, chest X ray to investigate the presence of either cardiomegaly, pulmonary congestion or pneumonia. CT scan may help if pneumothorax is suspected. Echocardiogram is useful in case of probable or suspected heart failure. Respiratory tract infections are the most common cause of hospital admission and death in NMD patients with respiratory muscle involvement. When a patient with neuromuscular disease has an acute infection, several issues should be considered:

1) the severity of the underlying disease
2) signs of respiratory distress
3) somnolence
4) decreased appetite
5) retractions
6) tachycardia and tachypnea
7) oxygen saturation lower than baseline or under 94% (16).

Management of an ARF episode in NMD implies both treatment of precipitating causes and supportive therapy for the insufficient respiratory function. Mechanical noninvasive positive pressure ventilation (NIPPV) combined to mechanically assisted coughing have been established as first line treatment for severe ARF in NMD (28, 29). As suggested by the Spanish guidelines (30) NIPPV is mandatory in NMD patients with acute respiratory acidosis, while intubation and invasive ventilation must be considerate only when a noninvasive approach is contraindicated or ineffective (30). NIPPV is contraindicated in patients with severe inability to swallow, uncontrollable airway secretions despite use of aids, severe hypoxemia, severe impaired mental status, hemodynamic instability, recent facial upper airway or abdominal surgery or bowel obstruction (31-34) (Table 3). NIPPV failure is defined as the inability to: reduce dyspnea or lethargy, decrease the respiratory rate, improve blood gas exchange (arterial PH < 7.30 or below the value on admission), maintain PaO2 > 85 mmHg with a FiO2 > 0.6 within 6-12 hours of application, despite optimal ventilatory setting (35). NIPPV represents an effective life support treatment in NMD with ARF. An open study including 17 patients with NMD found NIV effective in avoiding tracheal intubation in 79% out of the paT-

Table 3 - NIPPV contraindications.

- inability to swallow
- uncontrollable airway secretions despite use of aids
- severe hypoxemia
- severe impaired mental status
- hemodynamic instability
- recent facial upper airway or abdominal surgery
- bowel obstruction

patients (36). A randomized controlled trial (37) of early application of NIV in patients with ARF and NMD showed that NIV statistically reduce the rate of tracheal intubation in comparison with the usual medical care alone (Figure 1). If the patient is already on home NPPV at the time of the acute illness, it should be requested a re-evaluation of the ventilator settings. In some cases it could be necessary using NIV more intensively, increasing inspiratory pressure support or IPAP by steps of 2-5 cmH2O according to pCO2 levels, and eventually adding supplement of oxygen therapy to NIV to maintain SATO2 >93%. The set ventilator expiratory pressures or EPAP should not exceed a maximum of 7 cmH2O. When the patient is completely 24hrs NIV dependent, it could be useful to alternate different types of mask (38) and add humidification. We suggest to check constantly the need of mechanically assisted coughing in case of bronchial secretions retention, and the administration of bronchodilators if wheezing is detected (39).

Another important issue during an ARF episode in patients with NMD is the identification of the more appropriate care-setting. The decision to admit the patient to a hospital or to manage him/her at home is crucial. It’s important to note that not always the most intensive and invasive setting is the safest location to manage NMD patients with ARF. Some authors (40-43) report dramatic reduction of hospitalizations and a prolongation of life expectancy linked to regular home management of ARF episodes, but well designed controlled studies are lacking. A well organized telemonitored hospital at home setting may provide a safe management of mild ARF episodes for NMD patients (42) and a mechanical removal of secretion with insufflator-exsufflator. However, when NIPPV support is needed for 24 hours a day together with continuous clinical and instrumental monitoring (e.g. not only pulse-oxymetry) and removing of bronchial secretion by bronchoscopy mean a dedicated respiratory high-dependency care unit or respiratory intermediate care unit (RICU) may be the optimal care setting for NMD patients with ARF (32). An early and preventive increased utilization of NIPPV at home or in critical setting may avoid sedation and complications linked to invasive MV (40-43). Superiority of NIPPV vs invasive MV is demonstrated in term of lower mortality, treatment failure, duration of ICU in different study (32, 44). If a patient with neuromuscular disease undergoes intubation a big issue to be solved is the timing...
of extubation and difficult weaning. Recommendations for optimal timing of weaning in NMD patients suggest to extubate when the patient is stable, arterial oxygen saturation on room air normalized and the causes leading to respiratory failure solved and properly treated. Moreover, extubation of NMD patients should be done with an adequate cough reflex, minimally reduced secretions, and lower MV pressures tolerated for a prolonged period of time without any sign of respiratory fatigue (45). There is general agreement that, if not contraindicated (e.g., severe bulbar impairment), patients with slowly progressive NMD should be extubated directly to NIPPV combined with assisted coughing (46). Extubation failure is relatively common in patients with MG (44% of extubation attempts), and the presence of atelectasis is the strongest predictor of this complication (47-49). Aggressive airway clearance is another key point to manage ARF in NMD. There are different techniques of airway clearance that include manual assisted coughing, mechanical insufflator-exsufflator devices, manual chest percussion, air-stacking techniques, and postural drainage. The role of airway secretion management by physiotherapist, either done manually or with insufflation-exsufflation, is now established (50). Mechanical insufflation-exsufflation significantly reduces treatment failure in patients with neuromuscular disease and respiratory tract infection, compared to historical controls conventionally managed with chest physiotherapy alone (51).

**What to avoid in patients with neuromuscular disorders**

Since symptoms of respiratory failure are not obvious and may be confused with muscular weakness, especially in the presence of otherwise stable muscular function and maintained ambulation, respiratory involvement must be systematically investigated either clinically and functionally. To avoid the progression of respiratory failure is necessary to identify early signs of impending respiratory failure and to prevent acute events that may be precipitated by infections, drugs, and co-morbidities.

**Do not misunderstand progression of respiratory involvement**

It is very important to assess respiratory status regularly by means of periodic lung function tests to predict the progression of disease. A difference in forced vital
capacity (predicted percent FVC) between seated and in supine position >20% indicates diaphragmatic weakness and it is a valid predictor of nocturnal hypoventilation (52, 53). When FVC is less than 60% predicted, then sleep breathing disorder are very common in NMD patients. For example, a FVC < 40% may indicate nocturnal hypoventilation and possibly progression to chronic respiratory failure. The respiratory muscle strength must be regularly assessed by either maximal inspiratory pressure (MIP) and maximal expiratory pressure (MEP) (54). Normal values of MIP and MEP are 80-120 cm H2O. A value of less than 60 cm H2O suggests symptomatic respiratory muscle impairment, while when MIP is 20 cm H2O or less there is a clear requirement for assisted mechanical ventilation (55, 56). If MIP values are lower than MEP ones indicate the presence of diaphragmatic weakness. Peak cough flow, polysomnography, and blood gases are also useful to evaluate the patient (55, 57). PEF is the maximum flow obtained during forced expiration, starting from total lung capacity (normal range: 360-1200 L/min, average value: 580 L/min) indicating the extent to which a patient succeeds in achieving maximum forced expiration independent of glottis function. Otherwise, PCF, is the expiratory flow measured after the compression phase of forced expiration at closed glottis. A peak cough flow assessment may help to estimate the patient’s ability to clear secretions. It can be obtained with a simple peak flow meter in collaborative patients. Usually the measurement of PCF is made by mouthpiece and closed nose, but in children or adults with facial weakness a mask may be required to achieve a reliable value. According to some Authors (14), cough assistance is required when unassisted PCF <160-180 L/min (58). Actually, there is a difference between spontaneous unassisted PCF and PCF assisted by air stacking and an abdominal thrust. When patients are acutely and critically ill, mechanically assisted cough or MAC is the most used way to clear airway secretions. If a patient cannot generate PCF> 270-300 L/min with assistance, MAC is needed to prevent respiratory tract complications such as atelectasis and pneumonia leading to ARF (59).

It has been shown that PCF>160 L/min is associated with successful extubation or decannulation in patients with neuromuscular diseases (60). For this reason, in the 2009 ACCP (American College of Chest Physicians) guidelines for patients with Duchenne muscular dystrophy indicated that MAC is required when PCF<270 L/min or MEP<60 cmH2O before anaesthesia for surgery (61).

Polysomnography can detect or confirm sleep breathing disorders and should include end tidal CO2 monitoring or transcutaneous CO2 monitoring. Arterial or capillary blood gases are also used to assess respiratory failure (52, 62, 63).

**Prevent complication due to excessive invasiveness of care**

Invasive monitoring and mechanical ventilation performed in ICU are very useful tools in life-threatening situations, but can also be harmful for fragile patients like NDM often are. Moreover, sedative and paralyzing drugs should be avoided or strongly limited during ARF in NMD patients. A dedicated hospital setting for NIV and noninvasive monitoring may be more effective and less costly than a traditional ICU for NMD patients. No use of invasive lines and MV allows the NMD patient free to move, speak, cough, eat and drink with less risk for nosocomial infections and pneumonia, less damage to joints and muscles. A particular attention should be paid to avoid critical illness neuro-myopathy during an ARF episode managed in hospital.

**Avoid progress of disability linked to an ARF episode**

A prolonged stay in ICU or in hospital without an aggressive rehabilitative attitude by the physician and nurses may be deleterious for NMD patients with limited ambulatory and muscular autonomy before the ARF episode. In fact, a prolonged immobility, sedative drugs, invasive lines and airways may lead to several complications, e.g. deteriorating of the ability of movement, walking, swallowing, and speech. We suggest an aggressive rehabilitation program promptly begun from the first day of ARF in NMD patients hospitalized or not.

An early rehabilitation program prevent the consequences of immobility and ARF even in ARDS patients without NMD, but it may be particularly important for NMD patients.

**Prevention of acute events**

Any respiratory tract infection in neuromuscular disease is a possible trigger for acute respiratory failure so it is imperative take any measure to prevent and treat these episodes. This includes anti-pneumococcal and influenza vaccination, antibiotic treatment when bacterial infection is suspected, chest physiotherapy for removal of airways secretions and even mechanical assisted maneuvers to increase cough efficiency. Another important way to prevent acute respiratory failure especially in neuromuscular diseases is to use mechanical ventilation at home: several investigation have shown that nocturnal ventilatory support can improve daytime arterial blood gases, as well as quality of life and survival (64-66). The chronic use of home NIPPV may also decrease pulmonary morbidity and hospitalization rates. For this reason is very important that the timing to begin NIPPV is correctly recognized.

A recent consensus conference suggested that NIPPV should be recommended in symptomatic patients with at least one of the following:

- symptomatic daytime hypercapnia with an arterial PCO2 greater than 45 mmHg
- symptomatic nocturnal hypoventilation (PCO2 of 50 for 50% of sleep time or nocturnal oxyhemoglo-
bin saturation less than 88% for over five consecutive minutes.
• severe underlying pulmonary function abnormalities (MIP < 60 cmH2O or FVC < 50% of predicted).

Other minor indications are: recurrent chest infections (>3 a year) and non-symptomatic nocturnal hypercapnia or hypopneas on an individual basis (32, 67, 68).

Administration of NPPV to NM patients with chronic hypoventilation may be expected to improve physiological function and quality of life as well as to decrease the frequency of episodes requiring acute care. For these reasons in recent years NPPV has assumed a central role in the management of NMD patients (67, 69). There are conditions in which long-term invasive ventilation is required via tracheostomy: this is the case of recurrent aspiration, severe bulbar involvement, inability to tolerate NPPV, ineffective NPPV, severe retention of secretions not controlled by noninvasive measures, and ventilatory dependency for more than 20 hours a day (70).

Organizational issues

Since slowly progressive NMDs are characterized by progressive clinical deterioration, these patients must be involved in the decision-making process regarding treatment escalation, such as endotracheal intubation, tracheostomy and eventually the option of palliative care (71, 72). Providing patients and their families information about treatment options and anticipating possible future needs are crucial steps to manage properly respiratory issues in NMD patients. Advance discussion of treatment plan should be the standard of care for these patients, especially in patients diagnosed with type 1 SMA, ALS and other NMDs in the advanced stages of the disease, as these patients are the most fragile. When ARF occurs in these patients, special attention must be paid to the regulatory laws in each country and to the complex ethical issue of providing these patients with a permanent artificial airway (73). There are conditions in which long-term invasive ventilation is required via tracheostomy: this is the case of recurrent aspiration, severe bulbar involvement, inability to tolerate NPPV, ineffective NPPV, severe retention of secretions not controlled by noninvasive measures, and ventilatory dependency for more than 20 hours a day (70).

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